

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 6,838,428
APPLICATION NO. : 09/558,576
ISSUE DATE : January 04, 2005
INVENTOR(S) : Jeffrey A. Whitsett and Thomas R. Korfhagen

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page, under Section (75), please add --Thomas R. Korfhagen, Cincinnati, OH (US)--.

In Column 1, Lines 10-16, please delete:

“GOVERNMENT INTEREST IN THE INVENTION

Certain aspects of the invention disclosed herein were made with United States government support under National Institutes of Health grants HL 41320, SCOR HL 56387, HL 28623, HL 58795, and HL 03905. The United States government has certain rights in these aspects of the invention.”

In Column 1, Lines 10-16, please insert:

--STATEMENT REGARDING FEDERALLY SPONSORED R&D

This invention was made with government support under HL041320, SCOR HL056387, HL028623, HL058795, and HL003905, awarded by the National Institutes of Health. The government has certain rights in the invention.--

11224422/bab/051111

MAILING ADDRESS OF SENDER:

Michael L. Fuller
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2040 Main Street, 14th Floor
Irvine, California 92614

DOCKET NO. CHMC7.001CP1

Date: September 28, 2011

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TRANSMITTAL

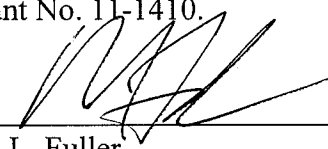
Applicant	:	Jeffrey A. Whitsett, et al.
Patent No.	:	6,838,428
Issued	:	January 4, 2005
App. No.	:	09/558,576
Filed	:	April 26, 2000
For	:	SURFACTANT PROTEIN D FOR THE PREVENTION AND DIAGNOSIS OF PULMONARY EMPHYSEMA
Examiner	:	Holly G. Schnizer
Art Unit	:	1653
Conf. No.	:	9558

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

- (X) Statement by Persons Being Added as Inventors Under 37 C.F.R. § 1.324(b)(1) to Correct Inventorship in 1 page.
- (X) Written Consent of Assignee to Correct Inventorship in 2 pages.
- (X) Statement by Inventors Agreeing to Change of Inventorship Under 37 C.F.R. § 1.324(b)(2).
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Michael L. Fuller
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**STATEMENT BY PERSONS BEING ADDED AS INVENTORS
UNDER 37 C.F.R. § 1.324(b)(1) TO CORRECT INVENTORSHIP**

Applicant	: Jeffrey A. Whitsett
Patent No.	: 6,838,428
Issued	: January 4, 2005
App. No	: 09/558,576
Filed	: April 26, 2000
For	: SURFACTANT PROTEIN D FOR THE PREVENTION AND DIAGNOSIS OF PULMONARY EMPHYSEMA
Examiner	: Holly G. Schnizer
Art Unit	: 1653
Conf. No.	: 9558

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Thomas R. Korfhagen, do declare:

1. I was not listed as an inventor of the subject matter claimed in the above-identified application.
2. I believe that I should be named as an inventor in the above-identified application.
3. The error in inventorship occurred without deceptive intent on my part.
4. I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the above-identified patent.

Date: 8/17/11

Signature: Thomas R. Korfhagen
Printed Name: Thomas R. Korfhagen
Residence: 1151 Hickory Lake Drive
Cincinnati, OH 45233
Citizenship: U.S.

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**WRITTEN CONSENT OF ASSIGNEE
TO CORRECTION OF INVENTORSHIP**

Applicant	:	Jeffrey A. Whitsett
Patent No.	:	6,838,428
Issued	:	January 4, 2005
App. No.	:	09/558,576
Filed	:	April 26, 2000
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Examiner	:	Holly G. Schnizer
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This written consent of Assignee is being submitted in support of Applicants' Request to Correct Inventorship under 37 C.F.R. § 1.324 to add **Thomas R. Korfhagen** as an inventor in the above-identified patent.

Pursuant to the requirements of 37 C.F.R. § 1.324(b)(3), **Children's Hospital Medical Center**, a not-for-profit corporation, with a place of business at 3333 Burnet Avenue, Cincinnati, OH 45229-3039, is the Assignee of the entire right, title, and interest of the above-identified patent by virtue of a chain of title from the inventor to the Assignee as shown by the following recorded assignment:

Assignment from Jeffrey A. Whitsett to Children's Hospital Medical Center of Application No. 09/558,576, recorded in the United States Patent and Trademark Office on September 18, 2000, at Reel 011099, Frame 0963.

Docket No.: BEAERO.019C1

June 23, 2011

App. No.: 12/855,680

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Children's Hospital Medical Center agrees to the change of inventorship under 37 C.F.R. § 1.324 adding **Thomas R. Korfhagen** as an inventor in the above-identified patent.

By: Elizabeth A. Stautberg Date: June 24, 2011

Name: Elizabeth A. Stautberg

Title: Senior Vice President & General Counsel

Assignee: Children's Hospital Medical Center

Address: 3333 Burnet Avenue
Cincinnati, OH 45229-3039

11223267/bab/051111

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**STATEMENT BY INVENTORS AGREEING TO CHANGE OF INVENTORSHIP
UNDER 37 C.F.R. § 1.324(b)(2)**

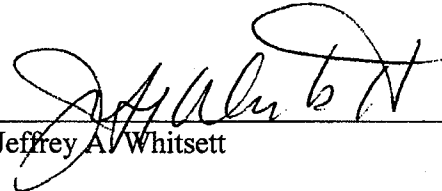
Applicant	:	Jeffrey A. Whitsett
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Jeffrey A. Whitsett, hereby agree that Thomas R. Korfhagen be added as a joint inventor in the above-identified patent.

Dated: 6/30/11

By: 
Jeffrey A. Whitsett